

# Compliance in Asian Wealth Management Forum 2020 Testimonials



**At the Hubbis Compliance in Asian Wealth Management Forum 2020 in Singapore on January 16th, we asked leading industry participants what they thought about our event today.**

**We hope you enjoy these Testimonials.  
Click on the [Speakers Name](#) to view their BIO.  
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# Who did we ask?

## Andrew Chow

Compliance  
BNP Paribas

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## Vincent Koo

Head of Compliance  
EFG Bank

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## Philipp Piaz

Partner  
Finaport

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## Christina McNamara

Associate Director, Operational  
Risk & Regulatory Compliance  
IHS Markit

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## Tan Woon Hum

Partner, Head of Trust, Asset &  
Wealth Management Practice  
Shook Lin & Bok

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## Anu Phanse

Operational Risk and  
Business Compliance  
Swissquote

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## John Shoemaker

Registered Foreign Lawyer  
Butler Snow

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## Andreas Wenger

General Manager, Asia Pacific  
IMTF

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## Rene Hess

Co-Founder  
LexiFi

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**Andrew Chow**  
**Compliance**  
 Previously at BNP Paribas  
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Well, the Hubbis this conference is actually excellent to the extent that it brings together all sorts of different people from the wealth management industry: the CEOs, the compliance officers, the risk people, even the product people. And you know, we were very privileged to have the MAS turn up as well. The conference is, I think, very, very useful, and I think it will be very successful in terms of the agenda, helping people understand the issues, and the regulations. I think that, you know, great job, Michael.

**Vincent Koo**  
**Head of Compliance**  
 EFG Bank  
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What I've observed is actually there are a lot of business people in this event. Much to my surprise, in the present manner, we've got top bankers in the audience wanting to listen, wanting to understand the trends of compliance and what are upcoming for them. I think that's actually fantastic; it actually shows that the event actually adds value to, not just compliance folks, but also the business people.

**Philipp Piaz**  
**Partner**  
 Finaport  
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I was very impressed. The turn up is very, very impressive. We've had, I believe, north of 400 people. The audience was very, very interested, and I count myself in there as well, to hear what new initiatives might be coming, where potential



challenges lie ahead this year. I think a lot of us who joined today are here to kind of stay abreast and ahead of changes, abreast of what's happening. And I think forums like today's are very, very popular for a very good reason; you get to meet people, you get to exchange opinions, you get to meet professionals who are actually from a relevant field to these matters. And compliance these days matters to everybody. It's not just a compliance, boring, legal thing. It matters for us business owners, for us bankers, for us as people involved in the financial industry. And regulatory changes are a normal thing; staying ahead of it helps everybody.

**John Shoemaker**  
**Registered Foreign Lawyer**  
 Butler Snow  
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One of the key elements of compliance is there is no advantage in being an outlier when it comes to compliance. So events like today's event where Hubbis brings together a wide variety of attendees and



covers a wide variety of topics are absolutely integral for any attendee to get a sense of how their colleagues and competitors are dealing with these issues so you can slot right in and hide in the pack. No one wants to be trailing behind or too far ahead when it comes to complying with the various regulations or rules that confront us today. You want to find that nice little niche in the middle where you're doing efficiently what others are doing, but able to then differentiate yourself on the value side to your clients.

**Christina McNamara**  
**Associate Director, Operational Risk & Regulatory Compliance**  
**IHS Markit**  
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So far, it's been really interesting panel discussions. I think it's tailored to wealth management, which makes it way more topical. But as far as the audience goes, it's a very interesting audience. I'm excited for some of the panel discussions in the afternoon talking about digitisation and automation, because that's definitely a space where we play.



**Tan Woon Hum**  
**Partner, Head of Trust, Asset & Wealth Management Practice**  
**Shook Lin & Bok**  
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Good mix of speakers, good content. Some new items to discuss, some new issues. Big crowd, wonderful people, great organisation. Thanks.

**Anu Phanse**  
**Operational Risk and Business Compliance**  
**Swissquote**  
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So today's event, I think the turnout is really good. It's huge, I think. I think last time you had tables so people could take notes, but today I think there's so many people, probably have to but all the chairs. I think the turnout is really good.

I'm very happy to see that your attendees are growing every year. There are more and more attendees. And the people from both sides, which is good; there's compliance and there's people from the business as well. It's a good thing.

**Andreas Wenger**  
**General Manager, Asia Pacific**  
**IMTF**  
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It's a great event, as usual. We have a great amount of participants, interesting discussions. I think the venue is great. It's really good to see the people on stage, the interactions, the discussions that are ongoing. And I think great food; I love the coffee, the chocolates, the dinner is great.

**Rene Hess**  
**Co-Founder**  
**LexiFi**  
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So far, the event looks fairly promising. It looks to be very crowded, and it's a very interesting crowd we see here. So looking forward to see what's the outcome for the rest of the day. ■



# Testimonials from the audience

Hubbis events provide a comprehensive and easy to grasp update on regulatory environment. I recommend this compliance event for all and anyone dealing with compliance issues from back to front office!

Very much worth the time invested. Well-paced and did not have a dull moment. Always kept me focused on the topic and gave me a different outlook on more than one matters discussed. Brainstorming and made me feel at ease to ask any queries. - **Bhavnani Sunil Daulatram**

Great host, great location and some of the most insightful speakers on the panels of the seminar. Looking forward to the next Compliance Forum in 2021. - **Raj Bhagchandani, Compliance Officer**

Fantastic event, nice location, good speakers - **Ho Chin Shin**

I had a really great time speaking on a panel at the Compliance in Asian Management Wealth Management Forum 2020. The format of the panel discussions was very interactive and informal which was really enjoyable. Compliance and Risks can be serious topics, but Michael manages to keep the speakers on their toes and the audience interested for a full 6 hours, which is fantastic. The presenters were all very experienced in their fields and shared their insights in a simple manner. Overall a great experience!! - **Anu Phanse, Swissquote. ■**

