

Access to the Singapore Market: A Regulatory Introduction

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Financial Services

Bank Deposit taking		
Capital Markets Services Discretionary asset management, securities dealing, custody		Exempt
Financial Advisory Financial advice	Exempt	Exempt

Representatives



Singapore Entities

Fund Management Company

- Licensed Fund Management Company
 - AI/II
 - Retail
- Registered Fund Management Company
 - Max. 30 AI/II clients
 - Max. SGD 250m AuM

Financial Adviser

- (Licensed) Financial Adviser
 - Retail
- Exempt Financial Adviser
 - Max. 30 AI clients



Cross-Border Servicing

- General approach:
 - Territorial
 - Solicitation test
 - Applies also to foreign associated entity of Singapore financial institution
- Activities
 - Prospecting: Reverse solicitation
 - Negotiating: Reverse solicitation
 - Servicing: Abroad
 - Execution only

For More Information



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