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Chief Operating Officer Eton Solutions



Our Unique Strength: Delivering an integrated solution to enable new Family Offices to operate with the efficiency of a \$1Bn+ Family Office



AtlasFive® Proprietary Platform

Democratizing access to the technology being used by institutional family offices





Providing top-notch Middle and Back Office Services to enhance efficiency and operating leverage

Bringing together a cutting-edge platform and services to significantly transform Family Office operations



What we do today

Our experience serving Global Family Offices **~ \$100B** Assets Under Administration

300+ Families

6000+ Entities Managed

10,000+

Bank Accounts

reconciled daily

1M+

Transactions per month

Supporting clients across span of North America, Europe, Middle-East, Asia-Pacific



With qualifications across all backgrounds

- Graduates/Post-graduates in Accounting and Business Administration
- Chartered Accountants / CPAs

- CIPM certified investment reporting
- Fund Accounting specialists
- Multi-jurisdictional Tax specialists



Our services designed to assist operational efficiency



Experts managing your back office from day 1



All necessary expertise available to allow you to scale in complexity and globally



"All-in" Service price, customized for your requirements



Delivering investment reports, financial statements and transaction processing at desired frequency



Industry's best governance and controls increases confidence and reduces risk



No resource challenges (vacation / attrition)



Eton Services Team operates in close collaboration & partnership with your Family Office

Executive Com	Executive Committee Clien		Eton – Executive Team										
Eton – Operations Lead Dedicated team for client													
Reporting Lead *	Accounting L	ead * Operations Manager*	Operations Analyst	Fund Accounting Analyst	Tax Manager *								
 Publish investment performance reports Generate trend reports Publish forecasts Design ad hoc reports based on client requirements 	 Generate ar publish mor financial statements Compliance support Clear susper transactions Ensure lates accounting standards a incorporate Balance she substantiati 	 Ensure scope of work is delivered Internal approval of transactions Conduct weekly reviews with client Publish daily status report Liaise with client for any queries 	 Perform Data Aggregation Activities Perform position reconciliation Perform bank reconciliation Initiate bill pay transactions Pricing of illiquid securities Document management 	 Book fund contributions, redemptions, distributions and transfers IBOR-ABOR- CBOR reconciliat ion Monthly and/or quarterly NAV package Allocation of investment P&L 	 Consolidation of income / deductions Preparation of draft schedules Calculate estimated tax Co-ordination between Family office and Tax service provider 								



Comprehensive Range of Middle & Back Office Services

Data Aggregation

- Positions by Asset Class/ Sub Asset Class
- Positions by Liquidity/ Geography / Market Cap / Sector / Industry
- Investment performance comparison against Benchmark
- Above are visible in Client Portal
- Roll forward Report
- Portfolio Performance Report
- Data Feed Check
- Exception Management (Price, GL & Corporate Actions)
- Creation of Securities / Security Master Management
- Manual Pricing of Marketable securities
- Cash and Position Reconciliation
- New Account Set up
- Adding new custodian & Data feed integration

Transaction Processing

- Check Register
- Payments Register / Expense Report
- Accounts Payable Report
- Ageing Report
- Initiate Bill Pay from Inbound documents or Scheduled Payments (incl. Cap calls)
- Booking of Capital Activity
- Bill Pay matching, approval and processing
- Check Printing and distribution
- Vendor Management
- Check Positive Pay Report
- Void transactions
- Workflow setup
- Monitor/Verify transmission of wires/ACH

GL Accounting

Investment Reporting

- Capital Commitment Report
- Exposure Report / Target vs Actual
- Dollar Account Roll Forward
- Asset Allocation by Super / Asset / Sub-Asset Class (Current Allocation vs Target)
- Portfolio & Benchmark Returns by asset class for MTD, QTD, YTD, 1 Year, 3 Year, 5 Years and ITD
- Review MV/Transactions/Prices/Positions
- Benchmark Returns Validation
- Data consistency checks and validation
- Review overall portfolio spiked data points and Outlier checks
- Security Classification issues
- Performance Attribution and Analytics
- Report validation
- Look through Reporting
- Account Roll up Reports

Cash Management

- Cash AP/AR Reconciliation
- · Cash Balance monitoring to objectives
- Cash Balance Position Reporting
- Annual LOC payoff

Quarterly Investment Performance

- Apply Balance Sheet discounts
- Update preliminary PE valuations
- Income & YTD Gain/Loss calculation
- Quarterly Performance Report & recon

Tax support

- Consolidation of documents for tax preparation
- Allocation of income and expenses by funds and tax categories
- Draw up Gross income having taxable impact based on data
- Income report & reconciliation across jurisdictions
- Tax cost roll forward reports
- Institutional questionnaire assistance
- Assistance to internal tax team with any documents or workpapers/calculations

Private loans and others

- Loan & receivables management
- Private loan setup
- Manage private loan payment schedule
- Organize receivable schedule
- Loan bill pay processing
- Handling & coordination of special projects (eg. custom reporting, market analysis, compliance reporting etc.)
- Creation & maintenance of bespoke
 portfolio benchmarks

Virtual Mailroom

- Manage mailbox traffic
- Initiate Workflow / transaction processing
- Sorting and processing as required

Document Management

- Document Tagging and Upload
- Workflow Initiation
- Document Retrieval

GL Accountin

- Trial Balance
- Income Statement
- Bank Reconciliation
- Statement of Assets
- Cash Activity report
- Clearing Suspense transactions
- Month-end close, run MTM and post to GL
- Post Accruals Entries
- Monthly Allocations
- NAV Recon
- Consolidated Statement of Assets
- Consolidated Statement of Net Income
 - Trust Statement of Net Income
 - Principal and Income Reporting
 - Trust Statement of Assets
 - Month End Close

Investor PCAP's

Capital Book of Records

Fund Accounting

- Fund set up Implementation
- Beginning balance upload Implementation

Perform capital reconciliations

distributions and transfers

Calculate management fees

Monthly and/or guarterly NAV package

Book investor contributions, redemptions,

Book fund contributions, redemptions.

Perform NAV reconciliation between

distributions, transfers and commitments

Calculate performance fees/carried interest

Accounting, Investment & capital books

Capital account roll forward report



Our service activity is customisable to meet your reporting needs

Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
					Daily Act	ivities					
Da	ata aggregatior	n (Exception ma	anagernent, Po	sition reconcili	ation, Position	summary, Data	feed check, Su	spense clearin	g, Seculity mas	ster manageme	nt)
			Tra	nsaction proce	essing (Bill pay	orocessing, Dee	med transactic	ons)			
					Monthly A	ctivities					
				Month	nly document u	Iploading and ta	agging				
					Monthly po	sition recon					
				Month	IY IBOR/ABOR	Recon, Mark to	market				
				Month	ly investment p	performance rep	porting				
					Benchmai	rk updating					
					Financial s	statements					
		Tax Sup	port	Quarte	erly and yea	r end activiti	es	Tax Su	pport		
Investment Performance Reporting			Inv stment Perf srmance Re sorting			Investment Performance Reporting			Inve: tment Perfo mance Rep rting		
Benchmark update Financial Statements			Benchr ıark update Financia Statements			Benchmark update Financial Statements			Benchm: rk update Financial itatements		
1099 Documentation Compliance reporting			1099 Do :umentation Complia :ce reporting			1099 Documentation Compliance reporting			1099 Doci mentation Compliant e reporting		