



**ETON**  
SOLUTIONS



**Nimish Soni**

Chief Operating Officer  
Eton Solutions

# **Our Unique Strength:** Delivering an integrated solution to enable new Family Offices to operate with the efficiency of a \$1Bn+ Family Office



## **AtlasFive® Proprietary Platform**

*Democratizing access to the technology being used by institutional family offices*



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## **Eton Services Suite**

*Providing top-notch Middle and Back Office Services to enhance efficiency and operating leverage*

*Bringing together a cutting-edge platform and services to significantly transform Family Office operations*

## What we do today

Our experience  
serving **Global  
Family Offices**

**~ \$100B**

Assets Under  
Administration

**300+**

Families

**6000+**

Entities  
Managed

**10,000+**

Bank Accounts  
reconciled daily

**1M+**

Transactions  
per month

← *Supporting clients across span of North America, Europe, Middle-East, Asia-Pacific* →



Our Service Team of  
**150+ specialists**

### With qualifications across all backgrounds

- Graduates/Post-graduates in Accounting and Business Administration
- Chartered Accountants / CPAs
- CIPM certified investment reporting
- Fund Accounting specialists
- Multi-jurisdictional Tax specialists



# Our services designed to assist operational efficiency



**Experts managing your back office from day 1**



**All necessary expertise available to allow you to scale in complexity and globally**



**“All-in” Service price, customized for your requirements**



**Delivering investment reports, financial statements and transaction processing at desired frequency**



**Industry’s best governance and controls increases confidence and reduces risk**



**No resource challenges (vacation / attrition)**

# Eton Services Team operates in close collaboration & partnership with your Family Office



\* Part time

# Comprehensive Range of Middle & Back Office Services

|  |  |   |   |
|--|--|---|---|
| <b>Data Aggregation</b> <ul style="list-style-type: none"> <li>• Positions by Asset Class/ Sub Asset Class</li> <li>• Positions by Liquidity/ Geography / Market Cap / Sector / Industry</li> <li>• Investment performance comparison against Benchmark</li> <li>• Above are visible in Client Portal</li> <li>• Roll forward Report</li> <li>• Portfolio Performance Report</li> <li>• Data Feed Check</li> <li>• Exception Management (Price, GL &amp; Corporate Actions)</li> <li>• Creation of Securities / Security Master Management</li> <li>• Manual Pricing of Marketable securities</li> <li>• Cash and Position Reconciliation</li> <li>• New Account Set up</li> <li>• Adding new custodian &amp; Data feed integration</li> </ul> | <b>GL Accounting</b> <ul style="list-style-type: none"> <li>• Trial Balance</li> <li>• Income Statement</li> <li>• Bank Reconciliation</li> <li>• Statement of Assets</li> <li>• Cash Activity report</li> <li>• Clearing Suspense transactions</li> <li>• Month-end close, run MTM and post to GL</li> <li>• Post Accruals Entries</li> <li>• Monthly Allocations</li> <li>• NAV Recon</li> <li>• Consolidated Statement of Assets</li> <li>• Consolidated Statement of Net Income</li> <li>• Trust Statement of Net Income</li> <li>• Principal and Income Reporting</li> <li>• Trust Statement of Assets</li> <li>• Month End Close</li> </ul>  | <b>Investment Reporting</b> <ul style="list-style-type: none"> <li>• Capital Commitment Report</li> <li>• Exposure Report / Target vs Actual</li> <li>• Dollar Account Roll Forward</li> <li>• Asset Allocation - by Super / Asset / Sub-Asset Class (Current Allocation vs Target)</li> <li>• Portfolio &amp; Benchmark Returns by asset class for MTD, QTD, YTD, 1 Year, 3 Year, 5 Years and ITD</li> <li>• Review MV/Transactions/Prices/Positions</li> <li>• Benchmark Returns Validation</li> <li>• Data consistency checks and validation</li> <li>• Review overall portfolio – spiked data points and Outlier checks</li> <li>• Security Classification issues</li> <li>• Performance Attribution and Analytics</li> <li>• Report validation</li> <li>• Look through Reporting</li> <li>• Account Roll up Reports</li> </ul> | <b>Tax support</b> <ul style="list-style-type: none"> <li>• Consolidation of documents for tax preparation</li> <li>• Allocation of income and expenses by funds and tax categories</li> <li>• Draw up Gross income having taxable impact based on data</li> <li>• Income report &amp; reconciliation across jurisdictions</li> <li>• Tax cost roll forward reports</li> <li>• Institutional questionnaire assistance</li> <li>• Assistance to internal tax team with any documents or workpapers/calculations</li> </ul> |
| <b>Transaction Processing</b> <ul style="list-style-type: none"> <li>• Check Register</li> <li>• Payments Register / Expense Report</li> <li>• Accounts Payable Report</li> <li>• Ageing Report</li> <li>• Initiate Bill Pay from Inbound documents or Scheduled Payments (incl. Cap calls)</li> <li>• Booking of Capital Activity</li> <li>• Bill Pay matching, approval and processing</li> <li>• Check Printing and distribution</li> <li>• Vendor Management</li> <li>• Check Positive Pay Report</li> <li>• Void transactions</li> <li>• Workflow setup</li> <li>• Monitor/Verify transmission of wires/ACH</li> </ul>  | <b>Fund Accounting</b> <ul style="list-style-type: none"> <li>• Fund set up - Implementation</li> <li>• Beginning balance upload - - Implementation</li> <li>• Monthly and/or quarterly NAV package</li> <li>• Capital account roll forward report</li> <li>• Investor PCAP's</li> <li>• Capital Book of Records</li> <li>• Perform capital reconciliations</li> <li>• Book investor contributions, redemptions, distributions, transfers and commitments</li> <li>• Book fund contributions, redemptions, distributions and transfers</li> <li>• Calculate management fees</li> <li>• Calculate performance fees/carried interest</li> <li>• Perform NAV reconciliation between Accounting, Investment &amp; capital books</li> </ul> | <b>Cash Management</b> <ul style="list-style-type: none"> <li>• Cash AP/AR Reconciliation</li> <li>• Cash Balance monitoring to objectives</li> <li>• Cash Balance Position Reporting</li> <li>• Annual LOC payoff</li> </ul>   | <b>Private loans and others</b> <ul style="list-style-type: none"> <li>• Loan &amp; receivables management</li> <li>• Private loan setup</li> <li>• Manage private loan payment schedule</li> <li>• Organize receivable schedule</li> <li>• Loan bill pay processing</li> <li>• Handling &amp; coordination of special projects (eg. custom reporting, market analysis, compliance reporting etc.)</li> <li>• Creation &amp; maintenance of bespoke portfolio benchmarks</li> </ul>                                       |
|  |  | <b>Quarterly Investment Performance</b> <ul style="list-style-type: none"> <li>• Apply Balance Sheet discounts</li> <li>• Update preliminary PE valuations</li> <li>• Income &amp; YTD Gain/Loss calculation</li> <li>• Quarterly Performance Report &amp; recon</li> </ul>   | <b>Virtual Mailroom</b> <ul style="list-style-type: none"> <li>• Manage mailbox traffic</li> <li>• Initiate Workflow / transaction processing</li> <li>• Sorting and processing as required</li> </ul>  |
|  |  |   | <b>Document Management</b> <ul style="list-style-type: none"> <li>• Document Tagging and Upload</li> <li>• Workflow Initiation</li> <li>• Document Retrieval</li> </ul>   |

# Our service activity is customisable to meet your reporting needs

