

# An industry aware that Regulatory and Compliance concerns are of utmost importance

*Hubbis is delighted to have successfully hosted our 7th Compliance in Asian Wealth Management Forum in Singapore. The attendance was outstanding, with more than 400 delegates on the day, representing client-facing professionals and compliance experts from leading private banks operating in Asia, from Independent and External Asset Management firms, from Legal, Trust and Fiduciary firms and from Single- and Multi-Family Offices.*

**T**HE REMARKABLE TURNOUT OF HIGH-LEVEL participants from Asia's robust private wealth management industry is testament to both to the immense opportunities in the sector but also to the pre-eminence of regulatory and compliance concerns in the industry, as nations and multi-lateral bodies across the globe roll out seemingly never-ending new sets of regulations and guidelines.

Asia's high-net-worth individuals, ultra-HNWIs and their families must pay ever greater attention to these matters, ignoring the implications at their peril. So must everyone who provides advice and wealth management services to them, as the reach of the regulators and authorities is ever-wider and their response to non-compliance increasingly stern, and often immensely severe in terms of the criminal and financial penalties.

Hubbis is very pleased to have more than achieved our stated mission to host a Forum that would identify, discuss and analyse the central current and anticipated trends in these crucial areas of regulation and compliance, and to debate how those parties



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involved in Asia’s wealth management industry can face up to and adapt to these immense challenges.

The one-day Forum allowed delegates to hear from a host of top-flight speakers on the four panels, in the six presentations and in the highly-detailed Workshop, as well as participating in networking sessions, and contributing their views in the comprehensive post-event Survey that is now a core feature of our Hubbis 2019 event programme.

We have taken the opportunity to summarise the key findings and comments from this valuable Survey and also taken the liberty to highlight the many testimonials from our speakers and delegates, for which we offer our sincerest thanks. We also note that we welcome all suggestions and we again reassure you our valued clients and friends that we greatly value your advice and input, which will serve to help make our Hubbis events and editorial even more relevant and more focused. ■

